

Graduate Student Handbook Ph.D. Program



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Department of Nutrition and Integrative Physiology Ph.D. Program

Mission and Goals

Our mission is to train professionals who are prepared to conduct scientific research exploring the physiological, molecular, and behavioral aspects of nutrition and metabolic health. Through interdisciplinary education and research, our program prepares students to advance scientific discovery and disseminate the latest findings to the public by way of professional publications, classroom education and community outreach.

The broad program goals are the following:

- 1. Train research scientists and academic scholars on the fundamentals of translational bioscience, enabling them to take research findings from bench-to-bedside.
- 2. Prepare graduates of all tracks and concentrations with the skills necessary to assume leadership roles in the professional community.

NUIP PhD Prerequisite course requirements

Students that are admitted lacking one of more prerequisites must complete them before matriculation or take the course here at the University of Utah in the first semester of study. Please note that undergraduate courses do not count toward graduate credit hour requirements.

REQUIRED	University of Utah	Credits	Topic
	equivalent		
Biology	BIOL 1210	4	Principles of Biology
	BIOL 2420	4	Human Physiology
Chemistry	CHEM 1210+1215	4 + 1	General Chemistry I + Lab
	CHEM 1220	4 + 1	General Chemistry II + Lab
	CHEM 2310+2315	4 + 1	Organic Chemistry I + Lab
	CHEM 2320+2325	4 + 1	Organic Chemistry II + Lab
	CHEM 3510	3	Biological Chemistry I
Math	MATH 1050	4	College Algebra
REQUIRED F	OR NUTRITION EMPHAS	SIS	
Nutrition	NUTR 1020 or 4440	3 or 4	Introductory or Advanced Nutrition
Strongly Re	commended for all pro	ograms	
Biology	BIOL 2020	3	Principles of Cell Biology
Chemistry	CHEM 3515	3	Biological Chemistry Laboratory
Chemistry	CHEM 3520	3	Biological Chemistry II
Writing	WRTG 2010	4	Intermediate Writing: Research and
_			Inquiry

Ph.D Expected Learning Outcomes

Program graduates will demonstrate a mastery of key concepts in the following areas: research design, statistical analysis, scientific writing, macronutrient metabolism, and general metabolic physiology. Students that complete the advanced Integrated Physiology emphasis will have expertise in muscle, cardiac and pulmonary physiology, while students that complete the Nutrition emphasis will have expertise in key areas of metabolic regulation, micronutrient metabolism, and nutrition biochemistry.

Program graduates will be able to do the following:

- Effectively utilize and integrate scientific information into all aspects of their professional endeavors.
- Demonstrate effective communication skills in the form of written papers, professional presentations, research seminars, and classroom instruction.

Program Costs and Financial Assistance

Students in the Ph.D. program are responsible for tuition based on the schedule published by the graduate school at the University of Utah on the following website: http://fbs.admin.utah.edu/income/tuition/college-of-health.

The Department of Nutrition and Integrative Physiology offers financial assistance to some students through the award of Teaching (TA) and Research (RA) Assistantships. These positions provide financial assistance to graduate students in exchange for teaching/research and/or service related work for the department. A full assistantship provides a tuition waiver and a stipend paid throughout the academic year; these are usually reserved for doctoral students. Master's students can be eligible for a half-time assistantship that comes with a 50% tuition wavier and lower stipend with a work load commiserate with the Master's program training. The department retains the right to disseminate assistantships based upon the number of applicants and department need. Once the thesis is defended, students are no longer eligible to receive these financial awards. Financial support awarded prior to the thesis defense will be honored until the completion of the contract. A master's degree candidate can receive financial support (TA/RA) for a maximum of two years. Students that have TA's or RA's are required to satisfactorily fulfill their responsibilities as determined by their supervisor, mentor, or department chair.

Students must maintain a 3.0 GPA to be eligible to receive the tuition waiver. Students whose GPA falls below 3.0 will have the tuition waiver reversed at the end of the semester, and will be responsible for payment of the tuition and applicable late fees. Other financial aid is available on a limited basis from the University and outside organizations. All students are encouraged to apply for outside funding to support graduate studies. For additional information, please consult the following link on the University of Utah Graduate School website.

http://gradschool.utah.edu/tbp/graduate-fellowship-opportunities/

Academic Calendar, Vacations and Holidays

Students with Teaching and Research Assistantships must check with course instructors and research advisors before planning vacation time. Assistance with grading and laboratory procedures may be required during final exam week and possibly other holiday periods. Any vacation time off outside University holiday dates should be approved first with the mentor / chair of supervisory committee. Please refer to the full academic calendar detailing official University holidays at: http://registrar.utah.edu/academic-calendars/.

Programs of Graduate study in the Department of Nutrition and Integrative Physiology

Students in Ph.D. program will select an emphasis in either Nutrition or Integrative Physiology. The chairperson serves as the student's advisor. The student's thesis committee approves and guides the program of study with regards to elective coursework and the completion of a quality thesis/dissertation. In general, students are encouraged to tailor the curriculum to complement their research interests and goals.

Ph.D. Program tracks of emphasis

In additional to the core Ph.D. program coursework, students can elect to follow either the Integrative Physiology track or the Nutrition track that contain distinct course requirements. The Ph.D. is a research-based program where students are expected to complete a Ph.D. dissertation that is published and contributes to the body of knowledge in their field of study. The Ph.D. Program is designed for completion in 3-5 years. <u>Department policy states there is a 7-year time limit on completing the Ph.D. program.</u> In the event of family or personal illness, hardship, or other emergencies, exceptions to this time limit may be granted, but must be approved by the student's supervisory committee, NUIP Director of Graduate Studies, and Dean of the Graduate school. The student must complete a minimum of 72 credit hours to earn the degree.

Student Learning Assessment and GPA policy

Student learning is assessed through instructor feedback on assignments, course grades and satisfactory progress on thesis/dissertation research. Students must maintain a grade of B- or better in all major graduate coursework and at least a C- in non-major courses. In order to graduate from the Department of Nutrition and Integrative Physiology at the University of Utah, a GPA of 3.0 must be maintained as detailed by the graduate school. These GPA criteria relate to coursework; thesis research credits do not factor into these GPA criteria. Students not achieving at least B- in major department courses or a C- in non-major outside the department courses must repeat these courses and achieve the minimum B- or C- in order to complete requirements for their graduate degree. Students are allowed to repeat a course only 1 time. The only exception to this rule is if a student has a documented medical event or personal hardship that necessitates a withdrawing from the course or a leave of absence from the term. An incomplete (I) may or may not be assigned depending on the exact circumstances, and the student will be allowed to take the course a 3rd time. Students who receive a C+ in a major course have the option of either retaking the course at the next opportunity or scheduling an oral examination over the entire course content with a panel consisting of the course instructor and selected tenure track faculty members. Each panel member will ask questions with the course instructor asking the majority. The examining committee will evaluate the student's responses after the session and the committee will determine whether the student has achieved sufficient competency in the course subject matter. If the examining panel finds that the student has a better grasp of the subject matter than that reflected by their course grade AND it is at the academic level of B- or better, the student's requirement to repeat the course will be lifted and they can proceed to graduate provided they meet all the other requirements of the program. The grade originally earned in the course will stand and cannot be changed; only the requirement to repeat the course will be waived. Students receiving a C or worse do not have the option of taking an oral examination and must repeat the course.

Suggested Timeline and Benchmarks for PhD program of Study

Students should follow the suggested timeline to ensure that they meet all graduate school requirements and can graduate in a reasonable amount of time.

Year 1:

- Course work as outlined in NUIP Graduate Handbook and electives selected in coordination with mentor and / or supervisory committee.
- Put together supervisory committee on University graduate tracking system by the end of Year 1 (see guidelines on supervisory committee on page 12).

Year 2, Fall Semester:

- Continue course work as outlined in NUIP Graduate Handbook and electives selected in coordination with mentor and / or supervisory committee.
- Develop dissertation proposal in coordination with mentor and committee.

Year 2, Spring Semester:

- Continue course work as outlined in NUIP Graduate Handbook and electives selected in coordination with mentor and / or supervisory committee.
- Develop dissertation proposal in coordination with mentor and committee.
- Take Comprehensive Exams (see page 12 for details).

Year 2, Summer Semester:

- Present dissertation proposal to full supervisory committee.
- Submit dissertation proposal to NIH for funding.

Year 3 -5:

• All focus on Dissertation research. Completion in Year 4 or 5 is dependent upon satisfactory progress on research project and submission of manuscripts for publication (See page 14 for details).

Ph.D. program of study with emphasis in <u>Integrative Physiology</u>

Please note that NUIP 6380 is offered <u>every other</u> Fall semester while NUIP 6440 is offered <u>every</u> Fall. Therefore in Fall semester students will take NUIP 6380 if it is offered, and NUIP 6440 the following fall. NUIP 6381 and 6384 are offered every other Spring semester. In first year spring semester students will take whichever of NUIP 6381 or 6384 that is offered, then the remaining course the following spring. Total **minimum** number of credit hours required is 72.

*Note that full time Research Assistantship requires registration for at least 9 credits per semester

FIRST YEAR	snip requires registration for at least 9 credits po	Credit hours
Fall Semester		0.00
NUIP 6440	Metabolism of Macronutrients	4
or	or	· ·
NUIP 6384	Cardiovascular Physiology	3
WRTG 7080	Writing for Health Sciences	3
KINES 7102	Research Methods	3
NUIP 7850	Graduate Seminar	1
11011 7030	Graduate Serriiriai	TOTAL CREDITS 10-11
Spring Semester		TOTAL CREDITS 10-11
NUIP 7970	Dissertation Research	1
KINES 7103		3
NUIP 6383	Design and Analysis I	3
	Muscle Physiology	3
Or NUID 4201	Or Dulmonary Physiology	2
NUIP 6381	Pulmonary Physiology	3
Elective	Elective	1.5-3
NUIP 7850	Graduate Seminar	TOTAL OPERITO 0 5 44
		TOTAL CREDITS 9.5-11
Summer Semester	Dissertation Research or Independent	3
	study	
SECOND YEAR	Course	Credit hours
Fall Semester		
NUIP 7970	Dissertation Research	4-5
Elective	Elective	1-3
NUIP 6440	Metabolism of Macronutrients	4
or	or	
NUIP 6384	Cardiovascular Physiology	3
PHIL / MOLB 7570	Research Ethics	1
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 10-12
Spring Semester		
NUIP 7970	Dissertation Research	4-5
NUIP 6383	Muscle Physiology	3
or	or	or
NUIP 6381	Pulmonary Physiology	3
Elective	Elective	1- 3
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 9-11
Summer Semester	Dissertation Research or Independent	3
	study	
THIRD TO FIFTH YEAR	Course	Credit hours
Fall and Spring Semesters		
NUIP 7970	Dissertation Research	3-15
Summer Semesters	Dissertation Research or Independent	3
		1

Ph.D. program of study with emphasis in <u>Nutrition</u>.

Total minimum number of credit hours required is 72.5.

*Note that full time Research Assistantship requires registration for at least 9 credits per semester

FIRST YEAR	Course	Credit hours
Fall Semester		
NUTR 6450	Nutritional Biochemistry	4
WRTG 7080	Writing for Health Sciences	3
KINES 7102	Research Methods	3
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 11
Spring Semester		
KINES 7103	Design and Analysis I	3
NUIP 6460	Metabolism of Micronutrients	4
Elective	Elective	1-3
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 9-11
Summer Semester	Dissertation Research or Independent	3
	study	
SECOND YEAR	Course	Credit hours
Fall Semester		
NUIP 7970	Dissertation Research	3
Elective	Elective	1.5-3
NUIP 6440	Metabolism of Macronutrients	4
PHIL / MOLB 7570	Research Ethics	1
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 10.5-12
Spring Semester		
NUIP 7970	Dissertation Research	6
Elective	Elective	1-3
BIO C 6600	Metabolic Regulation	1.5
NUIP 7850	Graduate Seminar	1
		TOTAL CREDITS 9.5-11.5
Summer Semester	Dissertation Research or Independent	3
	study	
THIRD TO FIFTH YEAR	Course	Credit hours
Fall Semester		
NUIP 7970	Dissertation Research	3-15
Spring Semester		
NUIP 7970	Dissertation Research	3-15
Summer Semester	Dissertation Research or Independent	3
	study	

Examples of possible elective coursesPlease consult with your committee to find electives appropriate for your field of study and research area, including those that may not be on this list.

Course Prefix	Title	Credit
BIOL 5110	Molecular Biology and Genetic Engineering	3
BIOL 5215	Cell Biology Advanced Projects Lab	2
MBIOL 6440	Gene Expression	1.5
MBIOL 6480	Cell Biology I	1.5
MBIOL 6481	Cell Biology II	1.5
BIOL 6964	GSCS Seminar, Special Topics in Ecology & Evolutionary	1-5
BMI 6010	Foundations of Healthcare Informatics	3
CTLE 6510	Cyber Pedagogy	3
ECON 6190	Health Economics	3
ED PS 6360	Multicultural Counseling	3
ESS 5850	The American Professoriate	3
ESS 6320	Exercise and Disease	3
ESS 6730	Applied Sport Psychology	3
FP MD 6106	Categorical Analysis	3
FP MD 6600	Social and Behavioral Context of Public Health	3
GERON 6001	Introduction to Aging	3
HEDU 6060	Health Instruction and Communication	3
HEDU 6260	Health Theories in Group Behavior Change	3
HEDU 6700	Epidemiology in Community Health Practice	3
H GEN 7380	Biochemical Genetics	3
KINES 7103	Design and Analysis II	3
MDCRC 6150	Foundations in Personalized Health Care	3
MKTG 6550	Marketing for Health Professionals	3
NUIP 6020	Body Image and Eating Disorders Special Populations	3
NUIP 6100	Advanced Pediatric and Adolescent Nutrition	4
NUIP 6320	Advanced Sports Nutrition	3
NUIP 6450	Nutritional Biochemistry (for students in the Physiology	4
NUIP 6446	Metabolism of Micronutrients (for students in the Physiology	4
NUIP 6381	Pulmonary Physiology (for students in Nutrition emphasis)	3
NUIP 6383	Muscle Physiology (for students in Nutrition emphasis)	3
NUIP 6384	Cardiovascular Physiology	3
NUIP 7640	Special Topics	1-3
NUIP 7305	Advanced Cardiac Physiology	2
OC TH 6860	Disability Studies Forum	1
SW 6621	Motivational Interviewing	3
Other courses	As approved by student's committee	

Registration information, requirements and policies

Continuous Registration

All students must maintain continuous registration

- Students must register for a minimum of 3 credit hours each fall and spring semester
- Registration during summer semester is required for all PhD students. Either NUIP 7970 or Independent study.
- Students who do not maintain continuous registration will be required to re-apply to the University

Transfer Credits

The University allows students to transfer a total of 6 *graduate credits* from another University. Transfer credits must meet the following

- A grade of "B" or higher must have been earned in the transferred course(s)
- Credits must have been earned at an accredited college or university, as determined by the University
- The transferred credits must have been earned in the equivalent of a 5000-level course or higher at the University of Utah
- The student's supervisory committee must approve of all the transferred courses

Eligible Course Numbers

- All courses counted toward graduate degrees at the University must be at the 6000-level or higher
- Courses taken below the 6000-level may be taken and may be required if deficiencies must be met
- 5000-level courses may be applied toward the degree upon supervisory committee approval
- Courses below the 5000-level may not be applied toward graduation
- Courses below the 5000-level will not be paid by the tuition benefit program

Credits for Thesis and Dissertation Research

PhD Students

- Must register for a minimum of 36 credit hours of NUIP 7970 Dissertation Research
- There is no maximum number of NUIP 7970 that may be applied toward graduation
- When NUIP 7970 is taken alone, without any other courses, the tuition for these courses is charged at the in-state rate

Residency Requirement

- All doctoral students must have two, back-to-back semesters of 9 credit hours of registration to demonstrate residency in Utah
- Any graduate student who has completed 40 credit hours at the University should apply for residency in the State of Utah (most applicable to doctoral students)
- Applications for residency must be submitted to the Graduate Admissions Office and supported by evidence of living in Utah, such as
 - Utah driver's license, utility bills with Utah address, Utah car registration, Utah bank account, etc.

Time Limit

 The time limit for completing the Ph.D. is seven consecutive calendar years from the date of matriculation into the program

Graduation Registration and Forms

The semester prior to a student's anticipated graduation, he or she must submit a Candidacy form. These are due at the beginning of November (spring graduation), March (summer), and June (fall). IRB approval or exemption or IACUC approval must be included with this form. All graduate students are required to complete the Graduate School forms that demonstrate progress through the academic

program. These forms vary by degree so make sure the Ph.D. forms are obtained. Please note the following information:

- Forms require signatures from the supervisory committee
- Forms sometimes require signature of the Director of Graduate Studies
- Forms must be submitted to the Graduate School on a specific timeline in order to ensure graduation by a certain date
- Students can contact the registrar for questions: registrar@utah.edu
- Information and forms regarding graduation can be found at: http://registrar.utah.edu/handbook/graduategraduation.php

Graduate Student Advisory Committee (SAC)

All graduate students are members of the graduate student advisory committee (SAC) and encouraged to participate. The SAC provides input and advice to the faculty on several levels. This committee:

- Evaluates faculty for tenure and/or promotion consideration
- Provides input for the NUIP Spring Banquet (held at the end of spring semester)
- May assist with graduate student orientation (at the beginning of fall semester)
- Assists with social functions of the graduate program

Graduate Supervisory Committee

The chair of a graduate student's supervisory committee is considered that student's advisor and mentor. Students accepted to the PhD degree programs should have a designated mentor at the time of admission. The supervisory committee chair should be a tenure track faculty member of NUIP that conducts research and/or has knowledge in the student's area of interest. Doctoral students must have a total of 5 committee members. A majority of the committee members must be tenure-track and from NUIP. Up to 2 members may be from outside of NUIP. External faculty not from NUIP and employed at a different university, may be eligible for committee service upon approval from the Graduate School Dean.

Exams and Requirements for Ph.D. Program

PhD comprehensive exam guidelines

Description and scope:

The comprehensive exam is to be taken at the end or soon after completion of the second year of study. The exam is designed to evaluate a doctoral student's knowledge in the broad areas of nutrition, physiology, metabolism, research design, and statistics. The exact scope of the exam is decided by the student's committee members (a total of 5 including 2 non-NUIP faculty) and reflects the general area the student has pursued in their graduate studies. For example, PhD students with nutrition emphasis may have some questions provided by committee members that emphasize areas in nutrition in additional to general questions regarding physiology and metabolism. Students in the physiology track could expect more questions in general physiology or questions specific to their area of study. It is important that all students work closely with their committee members for guidance on preparation for the exams. The exam date will be decided in coordination between the student and the student's committee. This date should be set some weeks in advance, (for example, 4 weeks) to allow the student a defined period of study and preparation.

Format:

The minimum guidelines for the exam are as follows

- Written portion consisting of minimum of 4 timed sessions, though it is up to the committee's
 discretion to require 5 written exams. Each session will last for 4 hours. Each committee member
 will provide one question to be answered by the student for each of the sessions. One of the
 written exams will exclusively focus on research design and statistics.
- If the student passes the written portion, they can move to the oral session that is conducted by the student's committee. The oral session will be scheduled within 2 weeks of passing the written

portion. Typically the oral exam lasts 2-4 hours, but can vary depending on the dynamics of the session and is at the discretion of the committee.

- There are two objectives for the oral exam portion;
 - 1. To have the student deliver an oral presentation, assigned one week prior to the exam by the committee, that will probe deeper into areas that may have been unclear in the written session. Alternatively the committee may instead assign an oral presentation on a topic outside the student's area of study. This will be in the format of a research presentation similar to a journal club or research seminar.
 - 2. Allow the committee to probe deeper into topic relevant to the student's area of study and follow up on areas of interest brought about by the student's oral presentation.
- If the student fails either the written or oral portion of the exam, they will have an opportunity to re-take the exam within 1 calendar year. It is at the committee's discretion to decide whether the student needs to re-take the entire exam (all written sessions and orals) or portions of it. During this time the student will carry on with their dissertation research. It is the choice of the student when they wish to re-take the exam, and they should notify the committee 4 weeks prior to this date.
- Please note that the student <u>cannot</u> submit their PhD Proposal <u>until they have passed</u> the comprehensive exam.
- If the student does not pass the comprehensive exam the second time, they will be dismissed from the PhD program.

Guidelines for Doctoral Dissertation research proposal

- The student must have a committee in place before proposing a project
- The student must PASS their comprehensive exams before presenting their proposal to the full committee and a public audience.
- The project should largely be developed by the student and faculty mentor with input as required from the committee
- IRB or IACUC approval is required of all research projects
- A written component based on the NIH SF424 instructions and application package is required.
 Students shall use the instructions pertaining to the NIH application for a Pre-doctoral fellowship (F-31). The written component shall consist of Specific Aims and a Research Strategy as detailed below:
 - 1. **Specific Aims (1 page limit):** State concisely the goals of the proposed research and summarize the expected outcome(s), including the impact that the results of the proposed research will have on the research field(s) involved. List succinctly the specific objectives of the research proposed (e.g., to test a stated hypothesis, create a novel design, solve a specific problem, challenge an existing paradigm or clinical practice, address a critical barrier to progress in the field, or develop new technology).

2. Research Strategy (6 page limit):

- a. Significance: Explain the importance of the problem or critical barrier to progress that the proposed project addresses. Explain how the proposed project will improve scientific knowledge, technical capability, and/or clinical practice in one or more broad fields. Describe how the concepts, methods, technologies, treatments, services, or preventative interventions that drive this field will be changed if the proposed aims are achieved.
- b. Approach: Describe the overall strategy, methodology, and analyses to be used to accomplish the specific aims of the project. Include how the data will be collected, analyzed, and interpreted as well as any resource sharing plans as appropriate. Discuss potential problems, alternative strategies, and benchmarks for success anticipated to achieve the aims. If the project is in the early stages of development, describe any strategy to establish feasibility, and address the management of any high-risk aspects of the proposed work. Point out any procedures, situations, or

materials that may be hazardous to personnel and the precautions to be exercised. If research on Human Embryonic Stem Cells (hESCs) is proposed, but an approved cell line from the NIH hESC Registry cannot be chosen, provide a strong justification for why an appropriate cell line cannot be chosen from the registry at this time.

- i. If you have multiple Specific Aims, you may address Significance and Approach either for each Specific Aim individually or for all of the Specific Aims collectively.
- ii. If the student and supervisory committee wish to submit the thesis / dissertation proposal to NIH for funding consideration, please refer to the detailed instructions in this link to complete the mentoring plan, training facilities, and other aspects of the full fellowship proposal.
- iii. About page limits, go to this link and scroll to "Fellowship applications." Look at Specific Aims and Research strategy. https://grants.nih.gov/grants/how-to-apply-application-guide/format-and-write/page-limits.htm

Doctoral Dissertation Guidelines: Following a successful defense of a doctoral research proposal, the student will complete a <u>two or more article dissertation based on their dissertation research</u>. The decision of scope of research should be made in consultation with the student's Chair and Supervisory Committee. The dissertation articles should surround a singular research theme. Each article in the doctoral dissertation is written up as an empirical article in format that would be suitable for submission to an appropriate journal in the field of study. The journal format should be selected under advisement of the committee chair and thesis committee. In general each manuscript should contain an abstract, introduction, methodology, results, discussion, and references. Additional information, such as supplemental figures, methodological details, supplemental tables, or statistical details can be provided as support documents. Students should consult with the Thesis Editor to make certain that the formatting of the final document complies with university regulations. See http://www.gradschool.utah.edu/thesis/index.php.

Doctoral Dissertation Defense: The final examination for doctoral candidates is the oral defense of their written dissertation. **Students must have approval from the supervisory committee prior to scheduling a defense date.** The dissertation oral defense is in seminar format consisting of a 45-50 minute presentation period followed by questions from the committee and audience. The dissertation defense must be advertised publicly. The supervisory committee grades the exam on a pass/fail basis. Following successful completion of the dissertation defense, the chair of the supervisory committee signs the Report of the Comprehensive Examination for the Degree of Doctor of Philosophy.

Graduate School Policies

Attendance / Injury / Illness Policy

The University expects regular attendance at all class sessions. Students are responsible for satisfying the entire range of academic objectives and requirements as defined by their instructors. The university and department calendar allows students a healthy number of vacation or holiday days during the course of the year. Absences are only permitted for illness, injury or in the event of a death within the student's immediate family. If an illness or injury occurs for more than two consecutive days, the instructor / supervisor has the right to request a physician's excuse. Students that have a TA or RA position are required to be present for the full semester, including the grading period, or until all their responsibilities have been satisfactorily discharged as determined by their supervisor.

Parental Leave policy

The College of Health has a policy in place to govern requested leave by students for parental responsibilities. This policy can be found at http://gradschool.utah.edu/directors-of-graduate-

<u>studies/resources/</u> on the graduate school website. Please scroll down to "College of Health Parental Leave Policy."

Academic Retention and Remediation Procedures

Once admitted to the program on matriculated status, candidates for the Ph.D. degree must maintain a cumulative GPA of 3.0 for all graduate course work. Students with a GPA below 3.0 are no longer eligible for tuition waiver benefits. In the event that a student drops below the required GPA, the Program Director will inform the student in writing that he or she has been placed on academic probation and is expected to meet GPA requirements within the next academic semester or 9 graduate credit hours. Copies of this letter will be placed in the students' academic file and sent to the Department Chairperson and the student's major advisor. In the event the GPA problem is not rectified within the next academic semester or 9 graduate credit hours, the case will be referred to the Director's Committee. The Committee will review the case and recommend action. During the review process the student and major advisor will be allowed to present their case. The Department Chairperson will inform the student in writing of the final decision.

General graduate school policies and regulations

All graduate students should read carefully the regulations set forth in the Bulletin of the University of Utah (http://gradschool.utah.edu/graduate-catalog/). Students have the responsibility to understand and follow these regulations and to meet all required dates and deadlines.

Equal Opportunity and Nondiscrimination

The Department of Nutrition and Integrative Physiology follows the equal opportunity and nondiscrimination practices in the selection and management of students. This policy is outlined in the *General Policy Statement* contained in the Regulations Manual of the University of Utah 5-106.

The University of Utah is an "Equal Opportunity Employer," and is fully committed to the principle of nondiscrimination in all employment-related practices and decisions, including, but not limited to, recruitment, hiring, supervision, promotion, compensation, benefits, termination, and all other practices and decisions affecting university employment status, rights, and privileges.

University executive, administrative, academic, and supervisory officers exercising Employee management responsibilities are required to take vigorous and appropriate action to assure that all employment-related practices and decisions are made without discrimination, harassment, or prejudicial treatment because of race/ ethnicity, color, religion, national origin, sex, sexual orientation, gender identity/expression, age, disability or protected veteran's status.

Access and Confidentiality of Student Records

Access to one's own official records and files is guaranteed to every student. Student's academic records are maintained in the Department of Nutrition and Integrative Physiology. The privacy and confidentiality of student records shall be presumed as outlined in policy 6-400 in the Regulations Manual of the University of Utah (see also the FERPA Regulations located at the end of this document).

Withdrawal and Refund of Tuition and Fees

Students are encouraged to discuss alternatives to withdrawal from the program with the Director of Graduate Studies or Department Chair prior to making a final decision. Matriculated students requiring withdrawal from the program must complete a *Recommendation for Change of Graduate Classification* form located at http://registrar.utah.edu/handbook/graduateclassification.php. This form along with a cover letter from the student must be presented to the Program Director and Department Chairperson for approval.

The completed approved form must be submitted to the Registrar's Office no later than one week before the first day of the term the change is to be effective. If not submitted on time, the change will

not be effective until the next semester. Refund of tuition and fees follow the University of Utah's Refund Policies located at http://fbs.admin.utah.edu/income/tuitioninfo/rp/.

Grievance / Complaints Procedure

Students who feel they have been treated unfairly concerning any matter that involves the program, coursework, or research have the opportunity to appeal the matter. Students must first make attempts to settle the matter with the instructor of the course or mentor. If the grievance is not resolved, the following describes the channels in which the student should address the grievance.

Procedure: Grievance Concerning Course Work

If the grievance pertains to course work the student should first discuss the matter with the instructor. If the student is not satisfied with the decision of the instructor, the student should take the matter up with the Department Chairperson. The matter should be resolved at this point. Students who do not agree with the decision of the Department Chairperson can appeal to a Grievance Committee for a ruling.

Procedure: Grievance Committee

A Grievance Committee will be convened consisting of one student, the Director of Graduate Studies, two outside faculty members, and the Department Chairperson. No Grievance Committee member can be personally involved in the grievance procedure. The procedure for submitting a grievance to the Grievance Committee is as follows:

- 1. The student must submit in writing to the Grievance Committee a letter indicating the nature of the grievance and the disciplinary measures taken.
- 2. The letter will be circulated among the members of the Grievance Committee and a formal meeting will be held within two weeks of the grievance filing. The student should be present at the onset of the meeting to present the grievance verbally.
- 3. The Grievance Committee will meet privately to review the matter. A decision will be made at that time and the student will be informed in writing of the decision of the Committee.
- 4. Students who do not accept the decision of the Committee will have the opportunity to submit the grievance to the Dean of the College of Health in the presence of at least one member of the Grievance Committee. The Dean will hear the student's petition verbally. The Dean will notify the student in writing of the decision regarding the grievance.
- 5. If the grievance is not resolved upon recommendation of the Dean, the student has the prerogative to pursue further action through the Student Behavior Committee of the University of Utah. Procedures are outlined in the Regulations Manual of the University of Utah 6-400.

Disciplinary Action / Termination

Procedures outlined in the University of Utah Regulations Manual 6-400, Section IV govern the disciplinary action and terminations process so as to achieve the least adverse action upon the student. Procedures relating to disciplinary action against a student shall fall under the jurisdiction of the Grievance Committee. Termination from the program will be used sparingly and only for serious violations of misconduct.

Examples of misconduct include but are not limited to:

- Use of drugs or alcohol such that program work is hindered.
- Conviction of a felony.
- Unprofessional behavior toward any student, faculty, staff patient, client, external collaborator, or family member.
- Theft.

- Falsifying research data.
- Plagiarism
- Cheating on course work and/or examination.
- Any other misconduct that the Committee feels warrants dismissal.

Students have the right to appeal the decision of the Committee. The student can present the case before the Dean and one Grievance Committee representative. Confidentiality will be maintained in handling all types of misconduct and sanctions concerning the student.

General Information

Safety and Health Insurance

Students are strongly encouraged to enroll themselves and their families in a health insurance plan. The group health insurance plan offered by the University includes accident coverage and enrollment information is received by mail. An enrollment card can be picked up at the Cashier's Window, 165 Student Services Building.

E-Mail Account

All students are required to have an e-mail account established by the first week of school. To setup your required UMail account visit http://it.utah.edu/. Students may also contact the Campus Help Desk at (801) 581-4000, option #1 for assistance. The university email account (UMail) for each student is their uNID@utah.edu. This account and your mailboxes (directly inside the office door) will be the primary means of communicating with you during your graduate career. Communication by e-mail enhances access to faculty members and University policy and procedures. Important notices to graduate students will be sent via e-mail. Check e-mail messages and department mailboxes daily.

Informal Discussions

Graduate students are encouraged to consult frequently with all members of the graduate faculty in the Department of Nutrition and Integrative Physiology and with other graduate students with respect to course work, additional reading and research. By doing so, expertise may be developed beyond that provided solely by formal course studies. This expertise is helpful in the preparation for thesis / dissertation seminar may be of significant value in your future career.

General Department Facilities

The Nutrition Clinic is located in HPER West 108 and the Experimental Foods Laboratory is located in HPER North 227. Many faculty investigators also have their own laboratories located in the Eccles Institute of Human Genetics or the Veterans Administration Hospital on Foothill Drive. Students should consult the appropriate Laboratory Director about time, space, rules and regulations of the laboratory.

Family Educational Rights and Privacy Act (FERPA)

FERPA is a federal law that protects the privacy of student education records. Students have specific, protected rights regarding the release of such records and FERPA requires that institutions adhere strictly to these guidelines.

FERPA provides students the following rights regarding educational records:

- The right to access educational records kept by the school.
- The right to demand educational records be disclosed only with student consent.
- The right to amend educational records.
- The right to file complaints against the school for disclosing educational records in violation of FERPA.

Students have a right to know about the purpose, content and location of information kept as a part of their educational records. They also have a right to expect that information in their educational

records will be kept confidential unless they give permission to the school to disclose such information. Therefore, it is important to understand how educational records are defined under FERPA.

Educational records are defined by FERPA as records that directly relate to a student and that are maintained by an educational agency or institution or by a party acting for the agency or institution. Educational records are directly related to the student and are either maintained by the school or by a party or organization acting on behalf of the school. Such records may include:

- Written documents, including student advising folders
- Computer media
- Microfilm and microfiche
- Video, audio tapes or compact discs
- Film
- Photographs

Any record that contains personally identifiable information that is directly related to the student is an educational record under FERPA. This information can also include records kept by the school in the form of student files, student system databases kept in storage devices such as servers, or recordings or broadcasts which may include student projects.

Records Not Considered As Educational Records

The following items are not considered educational records under FERPA:

- Private notes of individual staff or faculty, **not** kept in student advising folders
- Campus police records
- Medical records
- Statistical data compilations that contain no mention of personally identifiable information about any specific student

Faculty notes, data compilation and administrative records kept **exclusively** by the maker of the records that are **not accessible or revealed to anyone else** are not considered educational records and therefore, fall outside of the FERPA disclosure guidelines. However, these records may be protected under other state or federal laws such as the doctor/patient privilege.

Types of Educational Records

The two types of educational records defined under FERPA have different disclosure protections. Therefore, it is important for faculty and staff to know the type of educational record that is being considered for disclosure.

Directory Information

Certain information in a student's educational record is defined as directory information under FERPA. Under a strict reading of FERPA, the school may disclose this type of information without written consent of the student. However, a student may exercise the option to restrict the release of directory information by submitting a formal request to the school to limit disclosure. Directory information may include:

- Name
- Address
- Phone number and email address
- Dates of attendance
- Degree(s) awarded
- Enrollment status

Major field of study

Though it is not specifically required by FERPA, institutions should always disclose to the student that such information is considered by the school to be directory information and, as such, may be disclosed to a third party upon request. Institutions should error on the side of caution and request, in writing, that the student allow the school to disclose directory information to third parties.

Non-directory Information

Non-directory information is any educational record not considered directory information. Non-directory information must not be released to anyone, including parents of the student, without the prior written consent of the student. Further, faculty and staff may access non-directory information only if they have a legitimate academic need to do so. Non-directory information may include:

- Social security numbers
- Student identification number
- Race, ethnicity, and/or nationality
- Gender
- Transcripts and grade reports

Transcripts are non-directory information and therefore, are protected educational records under FERPA. Students have a right to privacy regarding transcripts held by the school where third parties seek transcript copies. Institutions should require that students first submit a written request to have transcripts sent to any third party as the privilege of privacy of this information is held by the student under FERPA. Schools should never fax transcripts because this process cannot guarantee a completely secure transmission of the student's grades to third parties.

Prior Written Consent

In general, a student's prior written consent is always required before institutions can legitimately disclose non-directory information. Institutions may tailor a consent form to meet their unique academic needs. However, prior written consent must include the following elements:

- Specify the records to be disclosed
- State the purpose of the disclosure
- Identify the party or class of parties to whom the disclosure is to be made
- The date
- Signature of the student whose record is to be disclosed
- Signature of the custodian of the educational record

Prior written consent is not required when disclosure is made directly to the student or to other school officials within the same institution where there is a legitimate educational interest. A legitimate educational interest may include enrollment or transfer matters, financial aid issues, or information requested by regional accrediting organizations.

Institutions do **not** need prior written consent to disclose non-directory information where the health and safety of the student is at issue, when complying with a judicial order or subpoena, or where, as a result of a crime of violence, a disciplinary hearing was conducted by the school, a final decision was recorded, and the alleged victim seeks disclosure. In order for institutions **to be able to disseminate non-directory information** in these instances **FERPA requires that institutions annually publish the policies and procedures** that the institutions follow in order to meet FERPA guidelines.

FERPA has strict guidelines regarding disclosing the educational records of dependent students. Though FERPA allows such disclosure, the act mandates that the institution first publish clearly delineated policies and procedures for the disclosure of these records. The institution must publish these

guidelines annually in a format that is easily accessible to interested parties. Both the dependent student and parents sign written disclosure agreements stating, at minimum, the following:

- The dependent student understands and allows parental access to these educational records
- The dependent student and their parents have been given a copy of the institution's policies and procedures for the disclosure of students' records

Most institutions charge their registrar's office with the responsibility to determine how their institutions will comply with FERPA disclosure requirements. Registrars commonly work with legal counsel in fashioning and publishing these guidelines. Contact the registrar's office for additional information.

FERPA Summary

The Family Education and Privacy Act was enacted by Congress to protect the privacy of student educational records. This privacy right is a right vested in the student. Generally:

- Institutions must have written permission from the student in order to release any information from a student's educational record
- Institutions may disclose directory information in the student's educational record without the student's consent
- It is good policy for the institution to notify the student about such disclosure and to seek the
 written permission of the student to allow disclosure of any educational records including
 directory information
- Institutions should give the student ample opportunity to submit a written request that the school refrain from disclosing directory information about them
- Institutions must not disclose non-directory information about students without their written consent except in very limited circumstances
- Institutions should notify students about their rights under FERPA through annual publications
- When in doubt, it is always advisable to error on the side of caution and to not release student educational records without first fully notifying the student about the disclosure

Guidelines for all first year PhD students to discuss with mentors

The purpose of these guidelines are to encourage a discussion with your mentor regarding important issues that will come up during your time as a graduate student at the University of Utah. It is strongly encouraged that all students discuss these points with their mentors early in the first semester of study so that there are clear expectations of responsibilities.

1) Time Commitment

What is the time commitment expected of the student as they are working on their dissertation project? Is this to be treated as a full time job?

2) Compensation

What is the length and duration of the stipend offered?

3) Preferred Modes and Style of Communication

How do the student and mentor prefer to communicate (e.g., email, phone, text), and with what frequency? What are the preferred forms of address, and what degree of formality in language is preferred? Who will the student be interacting with or reporting to on a day-to-day basis?

4) Meetings

What are the expectations of the student with respect to attendance at research meetings, colloquia, and other events? Are there specific lab or research group meetings to attend?

5) Performance Evaluation and Outcome Assessment

What is expected of the student in terms of specific work products or outcomes? How will the student's development as a professional be evaluated? How will this evaluation be communicated to the student, and with what frequency?

6) Mentor Conflict of Interest

Is there a financial conflict of interest as determined by the University of Utah Conflict of Interest Office and Committee for any projects that the mentor assigns the student to work on? If so the mentor should disclose the conflict of interest to the student prior to start of the project. Visit research.utah.edu/integrity/.

7) Certifications/Training

Are any certifications required before the student can participate in the research? What is the plan for securing any required certifications? These might include training in lab safety, responsible conduct of research, human subjects protections, HIPAA certification, etc.

8) Mentorship

Will the mentor be readily available to guide and assist the student with writing proposal, grant applications, manuscripts, training opportunities, etc.?

9) Rules and Procedures

Where can the student learn about rules and procedures associated with the research? What should the student do in case of an emergency associated with the research? What is considered an emergency in the context of the research? What should the student do if they make a mistake?

10) Authorship

For publications (manuscripts and meeting abstracts) how will authorship for the work be determined? Are there any restrictions on the release of information that should guide the student's communication about the research (e.g., in the case of patents or other sensitive information)?

STUDENT SUPPORT SERVICES

STUDENT SUPPORT SERVICES		
ADMINISTRATIVI		
Admissions Office	Questions in regards to application or admittance into the University of Utah, transfer credit, etc.	Domestic: 801-581-7283 graduate@sa.utah.edu International: 801-581-8761 iao.sa.utah.edu
Office of the Registrar	Grading, enrolling, Change of Graduate Classification, Leave of Absence, etc.	801-581-5808 http://registrar.utah.edu/
International Student & Scholar Services Office	Last semester, Leave of Absence, vita status, etc.	801-581-8876 registration@utah.edu
Office of Scholarships & Financial Aid	Resources to help with paying for college.	801-581-6211 http://financialaid.utah.edu/
Income Accounting Graduation	Tuition bill information. Applying for graduation, statement of	801-581-7344 http://fbs.admin.utah.edu/income/ 801-581-5808
Division Transcript & Verification Division Office	degree completions, etc. Transcripts, degree letters after the degrees have been posted, etc.	graduation@utah.edu 801-581-5808
The Graduate School	Dr. Araceli Frias, Assistant Dean for Diversity, afrias@gradschool.utah.edu 801-581-6233 Diane Cotsonas, International Teaching Assistant Program (ITAP), diane.cotsonas@utah.edu, 801-585-	http://gradschool.utah.edu/
Thesis Office	Kelly Harward, Thesis Editor, <u>kelly.harward@gradschool.utah.edu</u> , 801-581-7643 Trista Emmer, Assistant Thesis Editor, <u>trista.emmer@utah.edu</u> , 801-581-8893 Michelle Turner, Assistant Thesis Editor, <u>michelle.turner@gradschool.utah.edu</u> , 801-581-5076 http://gradschool.utah.edu/thesis/	
Office for Equity & Diversity	Resources, Student Programming, Academic Programming, Scholarships, Academic Advising.	801-581-7569 http://diversity.utah.edu/
Career Services	Charged with educating students and alumni in the discovery and realization of meaningful careers.	801-581-6186 http://careers.utah.edu/
STUDENT LIFE		
University Counseling Center	Provides developmental, preventive and therapeutic services and programs that promote the intellectual, emotional, cultural, and social development of University of Utah students.	801-581-6826 Hours: Monday-Friday 8am - 5pm http://counselingcenter.utah.edu/
Women's Resource Center	Offers scholarships and financial assistance, counseling for individuals and couples (for U of U students, staff and faculty), support groups for	801-581-8030 Hours: Monday - Friday 8:00am - 5:00pm Closed Tuesday 9:00am - 11:00am

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	women on and off campus as well as opportunities to volunteer and get involved.	http://womenscenter.utah.edu/abo ut/faq.php
LGBT Resource Center	Provides a safe environment to promote, educate and develop programs centering on sexual orientation, gender identity and gender expression.	801-587-7973 http://lgbt.utah.edu/
Feed U Pantry	Provides non-perishable, nourishing food for our students, their families, faculty and staff.	Located in the basement of the University of Utah Student Union. http://feedu.utah.edu/
University CrisisLine	Designed to provide community members with a full range of options to help solve the crisis in the best setting possible.	801-587-3000 http://healthcare.utah.edu/uni/clinic al-services/crisis-diversion/
University WarmLine	This line is for Salt Lake County residents who are not in crisis, but seeking support, engagement, or encouragement.	801-587-1055
ACADEMIC SUP	PORT	
Student Success & Empowerment Initiative	A growing list of powerful tools and resources to support academic and personal success.	http://studentsuccess.utah.edu/adv ocates/resources-page-original/
Learning Success Center	An academic support program designed to give students the assistance they need to have a successful academic experience. The program is made up of three components: 1. ASUU Tutoring Services 2. Supplemental Instruction 3. ED PS 2600 - Strategies for College Success	801-581-8746 http://learningcenter.utah.edu/
ASUU Tutoring Services	Provides individual tutoring (\$7 per hour) and group tutoring sessions (\$4 per hour) for currently enrolled University of Utah students.	801-581-5153 http://tutoringcenter.utah.edu/
Graduate Writing Center	Provides writing consultations for graduate students.	http://writingcenter.utah.edu/Gradst udentservices.php